

DIRK ALVES

17th November 1992

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A professional with over seven years of post-qualification experience, looking to obtain a job where I can make the best use of my skills, knowledge, and managerial abilities, which will enable the organization as well as myself to achieve our long-term goals.

EMPLOYMENT DETAILS

Price Waterhouse Chartered Accountants LLP

1st April 2023 to

Job Title: Assistant Manager– Technology – Risk Assurance

present

- Performed Business Process Walkthroughs to assess Business Process Risks and prepare a comprehensive Risk Assessment Control Matrix (RACM) outlining key risk factors and corresponding control measures to mitigate potential threats effectively.
- Assessed, evaluated, and reviewed the Design and Operating Effectiveness of Information Technology Automated controls (ITAC) controls, Information Technology Dependent Manual (ITDM) controls, and Manual controls to ensure compliance with regulatory bodies for companies like HSBC Bank, Morgan Stanley Capital Index (MSCI), National Stock Exchange (NSE) and Yes Bank.
- Identified areas within Business Processes that could benefit from increased efficiency and proposed opportunities for automation in those specific areas.
- Performed Information Technology General Controls (ITGC) testing covering the four domains: Access to Programs and Data, Program Change, Program Development, and Computer Operations.
- Collaborated with cross-functional teams to deliver the audit assignment within timelines and budget.
- Communicated regularly with the Client (VPs and above) from engagement kick-off till closure.
- Performed reviews of the work performed by team members.
- Conducted self-review and performance feedback for staff.

Price Waterhouse Chartered Accountants LLP

2nd August 2021 to

Job Title: Experienced Associate – Technology – Risk Assurance

31st March 2023

- Performed Control Walkthroughs to assess and evaluate the Design and Operating Effectiveness of Information Technology Automated controls (ITAC) controls, Information Technology Dependent Manual (ITDM) controls, and Manual controls as part of a Specified Procedures Engagement, that support the client's financial statements prepared in line with International Financial Reporting Standards (IFRS) and Public Company Accounting Oversight Board (PCAOB) requirements, to determine the extent to which reliance can be placed on the Client's Internal Control over Financial Reporting (ICFR).
- Participated as a team member of the S166 skilled person review of HSBC conducted under section 166 of the Financial Services and Markets Act, 2000 reporting to the United Kingdom Prudential Regulatory Authority (UKPRA).
- Developed good relationships with the audit client's Single Point of Contact (SPOC) through which key-control findings could be articulated promptly.
- Built strong internal relationships within the PwC network.

Verma Mehta & Associates, Chartered Accountants
Job Title: Manager – Audit

18th January 2017 to 18th
July 2021

- Overall responsibility for conducting and managing the Firm's concurrent audit operations in Mumbai of various private sector banks like Axis Bank and Kotak Mahindra Bank, public sector banks like State Bank of India, and Union Bank of India, as well as Reserve Bank of India.
- Discussed the Concurrent audit report findings with Chief Managers and above monthly, recommending risk mitigation action required to be taken as well as suggesting process improvements.
- Prepared project budgets and project timelines to ensure more than 95% on-time delivery of the work.
- Responsible for the recruitment, development, training, and motivation of my team consisting of five members.
- Conducted, executed, and assisted on Working Capital audits of several clients on behalf of various banks with exposure limits ranging from Rs. 5Cr. to Rs. 750 Cr.
- Assisted in the statutory audit of various Bank branches and Insurance companies like New India Assurance and United India Assurance.

PRE-QUALIFICATION WORK EXPERIENCE

Company	Designation	Period
Verma Mehta and Associates, Chartered Accountants	Audit Assistant	2016-2017
Alves Packaging Pvt. Ltd.	Accounts Manager	2015-2016
ANM & Associates, Chartered Accountants	Article Assistant	2011-2015

PROFESSIONAL BACKGROUND

Qualification	Institute	Year
Certified Information Systems Auditor	Information System Audit and Control Association	2019
ACCA Affiliate	Association of Chartered Certified Accountants	2018
Chartered Accountant	Institute of Chartered Accountants of India	2017

ACADEMIC BACKGROUND

Qualification	Academia	Year
Bachelor of Commerce	Smt. M.M.K. College of Commerce & Economics, University of Mumbai	2013
Higher Secondary Certificate	Smt. M.M.K. College of Commerce & Economics, Maharashtra State Board of Secondary & Higher Secondary Examination	2010
Secondary School Certificate	St. Mary's High School, Maharashtra State Board of Secondary & Higher Secondary Examination	2008

PROFESSIONAL DEVELOPMENT

- Banking Software: Oracle Flexcube, Finacle 7x and 10x and Bancslink
- Accounting Software: Tally ERP
- Microsoft Power BI, Word, Excel, PowerPoint, Outlook, and Google Suite tools
- SAP Professional Fundamentals Certificate (2023)
- IELTS Overall Band Score – 8 (June 2023)