







SARIKA MAKWANA

CHARTERED ACCOUNTANT

CONTACT

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-  A-210, Al Nasar square, Oud Metha,
Dubai, United Arab Emirates.

ALTERNATE DETAILS

-  Dubai - Spouse VISA
-  +91 8055349665
-  Spouse Emirates ID
784 1988 2923653 2

SKILLS

US GAAP certification
Advanced knowledge in MS-Office tools.

EDUCATION

Chartered Accountant

Institute Of Chartered Accountants of India

2008 - 2014

Bachelor of Commerce

University of Pune

2009-2011

PROFILE

Experienced Auditor focused on improving business compliance, workflow and processes through detailed Audits and optimization recommendations. Successful track record of evaluating information, structures and procedures and initiating corrective actions.

Advanced skills in excel, word, flow charts, accounting softwares and engagement packages/audit binders.

Familiar with managing schedules, working with teams and overseeing closing processes. Well versed in US GAAPs, Risk and Controls mapping and report writing. Good interpersonal skills, communication and multi-tasking abilities.

WORK EXPERIENCE

Audit Executive

RBSM Advisors LLP, Pune

Nov 2020 - Current

- Perform Statutory Audits, Internal Audits, due diligence, Reverse mergers
- Verify and finalize yearly and quarterly financial statements and reports for various US based clients in different business like Trading, Bitcoin Mining, and other small and medium reporting companies. Filing 10-Q, 10-K, S-4, 8-K with SEC and Nasdaq.
- Effectively communicating with the clients independently and obtaining audit evidences and inquiries with the management.
- Verifying compliances, completeness, existences and accuracy in the audit work performed from planning to execution and finalization of the audits.
Team handling and guidance to team members and supervision & review of their work. Provided guidance to juniors to help them understanding the audit areas for testing and complete the verification and test applicable assertion for each financial areas.
- Follow-up with team and continuous updating of audit file on a timely basis to complete the documentation and reporting to the Directors and Partners.
- Documentation of planning package, materiality and testing performed, undertaking walkthroughs, financial assessments and audit package as a whole
Reviewing the Financial statements, performing analytics, ratio analysis.

Senior Auditor

Bank of New York Mellon, Pune

July 2017 - February 2020

- Performed internal audits of financial and departmental operations, developing risk assessment and conducting process walkthrough for compliance with documented process.
- Review and identify risk, preparation of Risk control matrix, analyse risk are mitigated with controls and tested compliances and controls are effective.
- Identified and reported audit issues for different departments within the bank like Investment Management, Corporate Actions, Broker dealer services, Human resources department etc.
- Review of different auditing process, covering different audit areas and Generally used Principles, GAAP and GAAS like reconciliations and corporate regulations (GDPR), records management, Business continuity plans etc. Providing and drafting audit deliverables and Audit reports and tracking the redressal to the findings reported.



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EDUCATION

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2008 - 2014

Bachelor of Commerce

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2009-2011

LANGUAGES

English

Hindi

Marathi

Gujrathi

Auditor

RBSM Advisors LLP, Pune

June 2016 - June 2017

- Perform Statutory Audits, Internal Audits, due diligence, Reverse mergers
- Verify and finalise yearly and quarterly financial statements and reports for various US based clients in different business like Trading, Bitcoin Mining, and other small and medium reporting companies.
- Filing 10-Q, 10-K, S-4, 8-K with SEC and Nasdaq.
- Verifying compliances, completeness, existences and accuracy in the audit work performed from planning to execution and finalization of the audits.
- Reviewing the Financial statements, performing analytics, ratio analysis
- Documentation of planning package, materiality and testing performed, undertaking walkthroughs, financial assessments and audit package as a whole.
- Effectively communicating with the clients independently and obtaining audit evidences and inquiries with the management.

Associate Auditor

BDO India -MZSK & Associates, Pune

Sept 2014 - Dec 2015

- Worked as a team leader to perform statutory audit for corporate clients engaged in Real estate business, hotel and hospitality, manufacturing industry.
- Review of different auditing process, covering all financial audit areas and computing tax liability under Indian Income Tax act and filing of returns with the tax Authorities.
- Coordinated with the business stakeholders and documentation of the audit process performed and findings noted and mitigation thereof.
- Providing and drafting audit deliverables and Audit reports.

Article Associate

Anand Mehta & Associates, Pune

Aug 2010 - Aug 2013

- Worked as an article assistant as part of audit team to perform internal, statutory and tax audit for corporate clients and individuals.
Compute Tax liability, preparing and filing of tax returns such as direct tax (Income Tax) and indirect tax (Service tax, VAT) as per the provisions of the regulatory tax authorities.
Review of different auditing process, covering all financial audit areas.

Other Experience

Worked as a part of an Internal Audit Team of a manufacturing unit in Jordan for the period February to March 2016. This was a short term audit assignment.